PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/787337-Conf. #3987 Filing Date TRANSMITTAL February 26, 2004 First Named Inventor **FORM** Stephen J. Todd, et al. Art Unit 2171 (to be used for all correspondence after initial filing) **Examiner Name** Not Yet Assigned Attorney Docket Number E0295.70199US00 Total Number of Pages in This Submission 4 **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to TC Appeal Communication to Board of Licensing-related Papers Fee Attached Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information** Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address X Other Enclosure(s) (please Identify below): Extension of Time Request Terminal Disclaimer Return Receipt Postcard **Express Abandonment Request** Request for Refund x | Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Landscape Table on CD Document(s) Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name WOLF, GREENFIELD & SACKS, P.C. Signature Printed name Richard F. Giunta Reg. No. Date May 🐊 2006 36.149 Certificate of Mailing Under 37 CFR 1.8(a) I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. May 2

Signature:



DOCKET NO.: E0295.70199US00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Stephen J. Todd, et al.

Serial No.:

10/787337

Confirmation No.:

3987

Filed:

February 26, 2004

For:

METHODS AND APPARATUS FOR INCREASING DATA

STORAGE CAPACITY

Examiner:

Unassigned

Art Unit:

2171

# **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the Ard day of May, 2006.

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

## PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing of a first Office action on the merits in the above-identified case. No fee or certification is required.

Conf. No.: 3987

### PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

Serial No.	Filing Date	Atty. Docket No.	Inventor(s)
10/731613	12/09/03	E0295.70191US00	Stephen J. Todd, et al.
10/731796	12/09/03	E0295.70196US00	Stephen J. Todd, et al.
10/731603	12/09/03	E0295.70197US00	Stephen J. Todd, et al.
10/731845	12/09/03	E0295.70198US00	Stephen J. Todd, et al.
10/787670	02/26/04	E0295.70204US00	Stephen J. Todd, et al.
10/837311	04/30/04	E0295.70206US00	Stephen J. Todd, et al.

Several of the above-referenced applications include subject matter that may be related to the present application, and some share a specification with the present application. The examiners handling the other applications have been or will be searching for relevant prior art, and in some circumstances may be taking positions about which art shows features that are similar to at least some of the features recited in the claims of the present application. Therefore, the Examiner is invited to review the file histories of the above-referenced applications to see whether there is any information that the Examiner believes may be relevant. In this respect, it is assumed that the Examiner has access to each of those files. However, if the Examiner would like any information about any of those pending applications, Applicants would be happy to provide it.

Serial No.: 10/787337 - 3 - Art Unit: 2171

Conf. No.: 3987

### PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

- 2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:

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Docket No.: E0295.70199US00

Date: May 2, 2006